
On Continuous Normalization

Klaus Aehlig Felix Joachimski
Mathematisches Institut
LMU München

`{aehlig|joachski}@mathematik.uni-muenchen.de`

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`http://www.mathematik.uni-muenchen.de/~{aehlig|joachski}`

Some history

- **Cut-elimination**: a cut $\frac{\neg A \vee \neg B \quad A \wedge B}{\perp}$ is replaced by cuts on A and B .
- This process is defined by **induction** on (semi-formal) derivations.
- Want to separate the **operational** definition from the **proof-theoretical** analysis (well-foundedness of the derivation involves ordinal notation systems and strong means).
- In order to compute the normalized derivation in a **primitive recursive** way
- Mints 1978 introduced **repetition rule*** $\frac{\Gamma \vdash A}{\Gamma \vdash A}$ to compute the last rule of the normalized derivation (“Please wait; your proof will soon be computed (hopefully)”)
- This procedure even applies to non-wellfounded derivations (it is **continuous**).

* which has the subformula property!

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In particular, explain why and how many repetition rules are needed.

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Terms. Inductive and coinductive λ -calculus in de Bruijn-notation ($x \in \mathbb{N}$).

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Observational equality. $r \simeq_k s$ iff the outermost k constructors are identical.
E.g., $1\lambda\lambda 0 \simeq_2 1\lambda(0 2)$.

Equality. $r = s$ iff $r \simeq_k s$ for all k .

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Continuous normalization. $r^\beta := r@_\varepsilon$

where for $r, \vec{s} \in \Lambda^{\text{co}}$ we define $r@_{\vec{s}} \in \text{NF}$ by

$$\begin{aligned} (rs)@_{\vec{s}} &:= \mathcal{R}.r@(s, \vec{s}) \\ x@_{\vec{s}} &:= x\vec{s}^\beta \\ (\lambda r)@_\varepsilon &:= \lambda r^\beta \\ (\lambda r)@(s, \vec{s}) &:= \beta.r[s]@_{\vec{s}} \end{aligned}$$

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Properties

- **Continuity:** $r \simeq_k r' \wedge \vec{s} \simeq_k \vec{s}' \implies r@_{\vec{s}} \simeq_k r'@_{\vec{s}'}$.
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- **Continuous normal forms:** $\exists s \in \Lambda^{\text{co}}. s^\beta = r$ iff $\vdash r$ with

$$\frac{s, \vec{s} \vdash r}{\vec{s} \vdash \mathcal{R}r} \quad \frac{\vdash \vec{r}}{\vec{r} \vdash x\vec{r}} \quad \frac{\vdash r}{\vdash \lambda r} \quad \frac{\vec{s} \vdash r}{s, \vec{s} \vdash \beta r}$$

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- **Analysis:** A \mathcal{R} is justified by a β or an application:

$$\vdash s \implies \mathcal{R}_\zeta s \geq \beta_\zeta s + A_\zeta s$$

with $=$ for complete paths ζ

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in the leftmost-outermost reduction strategy

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Lemma. $r \rightsquigarrow_n s \implies r^\beta \triangleright_n^n s^\beta$

where \triangleright_n^k removes n occurrences of β and k occurrences of \mathcal{R} .

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$$\frac{\vec{r} \rightsquigarrow_{\vec{n}} \vec{s}}{x\vec{r} \rightsquigarrow_{\Sigma \vec{n}} x\vec{s}} \quad \frac{r \rightsquigarrow_n s}{\lambda r \rightsquigarrow_n \lambda s} \quad \frac{r[s]\vec{s} \rightsquigarrow_n t}{(\lambda r)s\vec{s} \rightsquigarrow_{n+1} t}$$

Lemma. $r \rightsquigarrow_n s \implies r^\beta \triangleright_n^n s^\beta$

where \triangleright_n^k removes n occurrences of β and k occurrences of \mathcal{R} .

Theorem. $r \rightsquigarrow_n s \in \text{NF} \cap \Lambda \implies r^\beta \triangleright_n^{n+|s|} s$

where $|s|$ is the number of applications in s .

Conclusions and further work

- Defined and analyzed a primitive recursive normalization function in the coinductive λ -calculus with repetition rule \mathcal{R} .
- Explained the use of \mathcal{R} , using auxiliary constructor β . This gives information on the normalization process.
- Can be extended to calculi with infinitary branching rules, such as (ω) .
- Straightforward implementation in Haskell:

```
beta :: Term -> Term
beta r = app r []
```

```
app :: Term -> [Term] -> Term
app (Lam r) (s:l) = Bet (app (subst r s 0) l)
app (Lam r) [] = Lam (beta r)
app (Var k) l = foldl App (Var k) (map beta l)
app (App r s) l = Rep (app r (s:l))
```

Examples in Haskell

```
s = Lam (Lam (Lam (Var 2 'App' (Var 0) 'App' (Var 1 'App' (Var 0))))))
k = Lam (Lam (Var 1))
y = Lam (Lam (Var 1 'App' (Var 0 'App' (Var 0))) 'App'
         (Lam (Var 1 'App' (Var 0 'App' (Var 0))))))
yco r = r 'App' (yco r)
theta = Lam (Lam (Var 0 'App' (Var 1 'App' (Var 1) 'App' (Var 0)))) 'App'
         Lam (Lam (Var 0 'App' (Var 1 'App' (Var 1) 'App' (Var 0))))
church n = Lam (Lam (iterate (App (Var 1)) (Var 0) !! n))
```

Test runs:

```
Main> beta (s 'App' k 'App' k)
  Rep (Rep (Bet (Bet (Lam (Rep (Rep (Bet (Bet (Var 0))))))))))
Main> beta (yco k)
  Rep (Bet (Lam (Rep (Bet (Lam (Rep (Bet (Lam (Rep (Bet (Lam
    (Rep (Bet (Lam (Rep (Bet (Lam (Rep {Interrupted!}
Main> beta (y 'App' k)
  Rep (Bet (Rep (Bet (Rep (Bet (Lam (Rep (Bet (Rep (Bet (Lam
    (Rep (Bet (Rep (Bet (Lam (Rep (Bet (Rep {Interrupted!}
Main> beta (theta 'App' k)
  Rep (Rep (Bet (Bet (Rep (Bet
    (Lam (Rep (Rep (Bet (Bet (Rep (Bet (Lam
    (Rep (Rep (Bet (Bet (Rep (Bet (Lam {Interrupted!}

```

More test runs

```
Main> beta (church 2 'App' (church 3))
  Rep (Bet (Lam (Rep (Bet (Lam (Rep (Rep (Bet (Bet (Rep (App (Var 1)
    (Rep (App (Var 1) (Rep (App (Var 1) (Rep (Rep (Bet (Bet (Rep
    (App (Var 1) (Rep (App (Var 1) (Rep (App (Var 1) (Rep (Rep
    (Bet (Bet (Rep (App (Var 1) (Rep (App (Var 1) (Rep (App (Var 1)
    (Var 0))) [..]))
Main> beta (church 3 'App' (church 2))
  Rep (Bet (Lam (Rep (Bet (Lam (Rep (Rep (Bet (Bet (Rep (Rep (Bet
    (Bet (Rep (App (Var 1) (Rep (App (Var 1) (Rep (Rep (Bet (Bet (Rep
    (App (Var 1) (Rep (App (Var 1) (Rep (Rep (Bet (Bet (Rep (Rep (Bet
    (Bet (Rep (App (Var 1) (Rep (App (Var 1) (Rep (Rep (Bet (Bet (Rep
    (App (Var 1) (Rep (App (Var 1) (Var 0))) [..] )
```